



S/09/988384

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David H. Sherman et al.	Examiner:	Kathleen M. Kerr
Serial No.:	09/988384	Group Art Unit:	1652
Filed:	November 19, 2001	Docket:	600.536US1
Title:	PROMOTER FOR METHYMYCIN AND PIKROMYCIN		

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), please charge Deposit Account No. 19-0743 in the amount of \$180.00 to cover the requisite fee as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

09/27/2004 NROCHA1 00000003 190743 09988384

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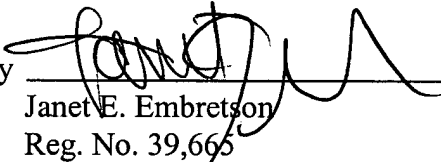
The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

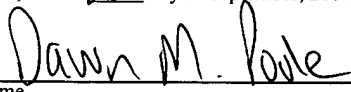
DAVID H. SHERMAN ET AL.

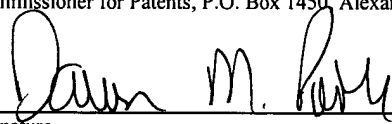
By their Representatives,

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Date September 22, 2004 By 
Janet E. Embretson
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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 22 day of September, 2004.

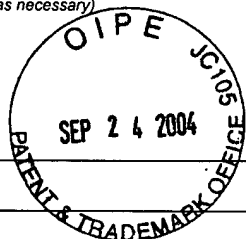

Name


Signature

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	09/988,384
Filing Date	November 19, 2001
First Named Inventor	Sherman, David
Group Art Unit	1652
Examiner Name	Kerr, Kathleen

Sheet 1 of 8

Attorney Docket No: 600.536US1

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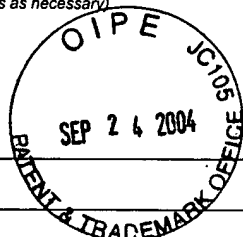
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Application Number 09/988,384

Filing Date November 19, 2001

First Named Inventor Sherman, David

Group Art Unit 1652

Examiner Name Kerr, Kathleen

Sheet 2 of 8

Attorney Docket No: 600.536US1

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Substitute Disclosure Statement Form (PTO-1449)

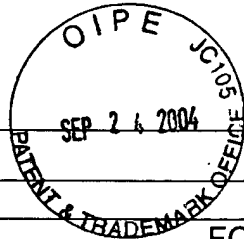
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Application Number 09/988,384

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First Named Inventor Sherman, David

Group Art Unit 1652

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Attorney Docket No: 600.536US1

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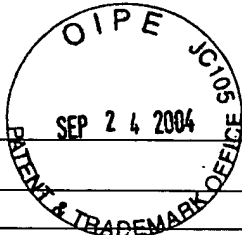
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Examiner Name Kerr, Kathleen

Sheet 4 of 8

Attorney Docket No: 600.536US1

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Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
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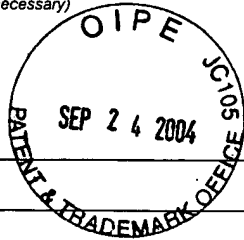
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
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Sheet 5 of 8	Attorney Docket No: 600.536US1	



OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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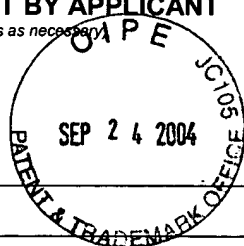
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Group Art Unit	1652
Examiner Name	Kerr, Kathleen

Sheet 6 of 8

Attorney Docket No: 600.536US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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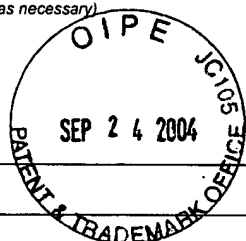
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/988,384
Filing Date	November 19, 2001
First Named Inventor	Sherman, David
Group Art Unit	1652
Examiner Name	Kerr, Kathleen

Sheet 7 of 8

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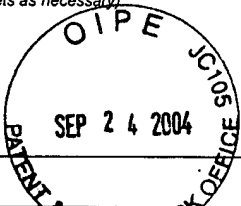
OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	09/988,384
		Filing Date	November 19, 2001
		First Named Inventor	Sherman, David
		Group Art Unit	1652
		Examiner Name	Kerr, Kathleen
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